

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Ravindra K. Shetty et al.

Title:

SYSTEM AND METHOD FOR BUSINESS GOAL-OPTIMIZATION WHEN CUSTOMER DEMAND CANNOT

BE SATISFIED

Docket No.:

H00-02099 (256.101US1)

Filed:

June 27, 2001

Examiner:

Unknown

Serial No.: 09/893,091

Due Date: N/A

Group Art Unit: 2163

Commissioner for Patents Washington, D.C. 20231

We are transmitting herewith the following attached items (as indicated with an "X"):

 \underline{X} A return postcard.

 $\overline{\underline{X}}$ An Information Disclosure Statement (1 pg.), Form 1449 (1 pg.), and copies of 4 cited references.

Please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

Atty: Bradley A. Forrest

Reg. No. 30,837

<u>CERTIFICATE UNDER 37 CFR 1.8:</u> The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on this <u>10</u> day of October, 2002.

Anne M. Richards

Name

Signature

Customer Number 000128

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

(GENERAL)

PATENT

O 1 P E OCT 1 5 2002

N THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ravindra K. Shetty et al.

Examiner: Unknown

Serial No.:

09/893,091

Group Art Unit: 2163

Filed:

June 27, 2001

Docket: H0002099 (256.101US1)

Title:

SYSTEM AND METHOD FOR BUSINESS GOAL-OPTIMIZATION WHEN

CUSTOMER DEMAND CANNOT BE SATISFIED

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 et. seq., the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants request that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicants with the next official communication.

Pursuant to 37 C.F.R. §1.97(b), it is believed that no fee or statement is required with the Information Disclosure Statement. However, if an Office Action on the merits has been mailed, the Commissioner is hereby authorized to charge the required fees to Account No. 19-0743 in order to have this Information Disclosure Statement considered.

The Examiner is invited to contact the Applicants' Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted, RAVINDRA K. SHETTY ET AL. By their Representatives, SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A. P.O. Box 2938 Minneapolis, MN 55402

(612) 373-6972

Date 10-10-2002

Bradley A. Forrest

Reg. No. 30.837

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner of Patents, Washington, D.C. 20231, on this 4 day of October, 2002.

Anne M. Richards

Name

Signature

Substitute for form 1449A/PTO Complete if Known INFORMATION DISCLOSURE **Application Number** 09/893091 STATEMENT BY APPLICANT Filing Date June 27, 2001 **First Named Inventor** Shetty, Ravindra **Group Art Unit** 2163 OCT 9 5 2002 **Examiner Name** Unknown Attorney Docket No: H0002099 (256.101US1)

	US PATENT DOCUMENTS									
Examiner Initial *	USP Document Publication Date Number		Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate				
	US-5,963,910	10/05/1999	Ulwick, Anthony W.	705	7	09/20/1996				
	US-6,112,126	08/29/2000	Hales, Lynn B., et al	700	29	02/20/1998				
	US-6,314,361	11/06/2001	Yu, Gang , et al	701	120	07/30/1999				

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T ²	

	OTHER	R DOCUMENTS NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	
		TAHA, H. A., Operations Research, An Introduction, Prentice Hall, Upper Saddle River, New Jersey, ISBN, 0132729156,(1997),pp.195-202	

RECEIVED OCT 2 1 2002 GROUP 3600